

Docket No.
448563/163
LR:ph

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Minoru Usui et al.
Serial No. : 09/312,073 Art Unit: 2853
Filed : May 13, 1999
For : INK CARTRIDGE FOR INK-JET PRINTING APPARATUS

August 5, 1999

**COMMUNICATION FILING SIGNED COPY OF DECLARATION
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION FILING DATE GRANTED AND SURCHARGE AUTHORIZATION**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted, mailed June 9, 1999, a copy of which is annexed hereto, Applicant submits a Declaration executed by Minoru Usui, Satoshi Shinada, Takahiro Naka, Hisashi Miyazawa, Takeo Seino, Hisashi Koike, Takao Kobayashi, Masahiro Kanai, Yasuko Hirano, Yasushi Akatsuka, Takayuki Iijima, Noriaki Okazawa, Hitoshi Matsumoto and Yasuhiro Ogura.

Kindly enter the accompanying executed Declaration, which refers to the Application Serial No. 09/312,073, filed on May 13, 1999, in the application.

Certificate of Mailing (37 C.F.R. 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on (Date) August 5, 1999 TECHNOLOGY CENTER 2800

Typed or printed name of person signing this certificate:

Patricia Driscoll

Signature: _____

Patricia Driscoll

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The Commissioner is hereby authorized to charge the surcharge for filing the Declaration, authorized in the accompanying Fee Transmittal.

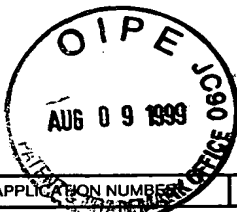
Applicant submits that the filing of the executed Declaration and payment of the surcharge which is authorized herein completes the filing requirements in connection with this application. If any issues remain which prevent the enclosed Declaration from being entered, it is requested that the undersigned attorney be notified in an effort to resolve such issues.

Early and favorable action in connection with this application is earnestly solicited.

Respectfully submitted,



Lawrence Rosenthal
Registration No. 24,377
Attorney for Applicant
STROOCK & STROOCK & LAVAN LLP
180 Maiden Lane
New York, New York 10038
(212) 806-5400



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/312,073	05/13/99	USUI	M 448563/163

0242/0609

LAWRENCE ROSENTHAL
STROOCK & STROOCK & LAVAN LLP
180 MAIDEN LANE
NEW YORK NY 10038

NOT ASSIGNED
2853
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DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
 - ☐ missing.
 - ☐ insufficient.Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
 - \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
 - ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

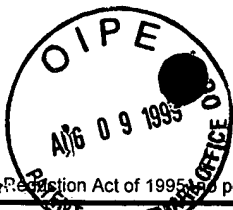
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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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09/312 073

29008 #4

Approved for Use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 1999		Complete if Known	
<i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		Application Number	29/312,073
		Filing Date	May 13, 1999
		First Named Inventor	Minoru Usui et al. 21 2000
		Examiner Name	
		Group / Art Unit	2853
TOTAL AMOUNT OF PAYMENT (\$)		130.00	Attorney Docket No. 448563/163

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 19-4709 Deposit Account Name: STROOCK & STROOCK & LAVAN LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES Large Entity Fee Code (\$) Small Entity Fee Code (\$) Fee Description Fee Paid	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath 130.00 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 380 216 190 Extension for reply within second month 117 870 217 435 Extension for reply within third month 118 1,360 218 680 Extension for reply within fourth month 128 1,850 228 925 Extension for reply within fifth month 119 300 219 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,210 241 605 Petition to revive - unintentional 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 215 Design issue fee 144 580 244 290 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 760 246 380 Filing a petition after final rejection (37 CFR § 1.22(a)) 149 760 249 380 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) _____ Other fee (specify) _____ SUBTOTAL (3) (\$)	
1. BASIC FILING FEE Large Entity Fee Code (\$) Small Entity Fee Code (\$) Fee Description Fee Paid		SUBTOTAL (1) (\$)	
101 760 201 380 Utility filing fee 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 760 208 380 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) (\$)		0.00	
2. EXTRA CLAIM FEES Total Claims: _____ Independent Claims: _____ Multiple Dependent: _____ Extra Claims: _____ Fee from below: _____ Fee Paid: _____ **or number previously paid, if greater; For Reissues, see below		SUBTOTAL (2) (\$)	
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$)		0.00	
4. SUBMITTED BY Name (Print/Type): Lawrence Rosenthal Registration No. (Attorney/Agent): 24,377 Signature: _____ Date: 8/5/99		Complete (if applicable) Telephone: (212) 806-5400	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments for the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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